

ARN/ EUIIN fee structure (inclusive of GST) applicable w.e.f. May 01, 2021

Category of the distributor	Required Documents	Additional Requirement	ARN/ EUIIN Registration Fees (in ₹)	GST @ 18% (in ₹)	ARN/ EUIIN registration fees (inclusive of GST)
Banks (Other than those specified below)	<ul style="list-style-type: none"> - Copy of license issued by RBI - - Copy of Board Resolution & - List of Authorized signatories with Specimen signature 	NISM Certified & AMFI Registered Employee	4,00,000	72,000	4,72,000
Regional Rural Banks, District Central Co-Op. Banks	<ul style="list-style-type: none"> - Copy of license issued by RBI - - Copy of Board Resolution & - List of Authorized signatories with Specimen signature 	NISM Certified & AMFI Registered Employee	1,00,000	18,000	1,18,000
Non Banking Finance Company (NBFC)	<ul style="list-style-type: none"> - Copy of Letter of Registration issued by RBI - Memorandum & Articles of Association - Copy of Board Resolution - List of Authorized Signatories with Specimen signature 	NISM Certified & AMFI Registered Employee	1,00,000	18,000	1,18,000
Public Ltd. Co.	<ul style="list-style-type: none"> - Memorandum & Articles of Association - Copy of Board Resolution - List of Authorized Signatories with Specimen signature 	NISM Certified & AMFI Registered Employee	4,00,000	72,000	4,72,000
Pvt. Ltd. Co.	<ul style="list-style-type: none"> - Memorandum & Articles of Association - Copy of Board Resolution - List of Authorized Signatories with Specimen signature 	NISM Certified & AMFI Registered Employee	20,000	3,600	23,600

One Person Company (OPC)	<ul style="list-style-type: none"> - @@ Declaration as mentioned below 	NISM Certified & AMFI Registered Employee	20,000	3,600	23,600
	<ul style="list-style-type: none"> - Copy of certificate of incorporation - Memorandum & Articles of Association - Copy of Board Resolution - List of Authorized Signatories with Specimen signature 				
Limited Liability Partnership (LLP)	<ul style="list-style-type: none"> - @@ Declaration as mentioned below - Certificate of Incorporation of LLP (in form 16) - Copy of LLP Agreement - Name, address and PAN details of the Designated Partners. - Name, address and PAN details of other partners (other than the Designated Partners) - Other persons authorized to act on behalf of the LLP 	NISM Certified & AMFI Registered Employee	20,000	3,600	23,600
Partnership Firm	<ul style="list-style-type: none"> - @@ Declaration as mentioned below - Partnership Deed - List of Authorized signatories with Specimen signature 	NISM Certified & AMFI Registered Employee	10,000	1,800	11,800

Urban Co-Op. Banks, Societies, Trusts, HUFs	<p><u>Urban Co-Op. Banks</u></p> <ul style="list-style-type: none"> - Copy of license issued by RBI - Copy of Board Resolution - List of Authorized signatories with Specimen signature <p><u>Societies</u></p> <ul style="list-style-type: none"> - Society by-laws - copy of certificate issued by Registrar of Societies - list of Authorized signatories with Specimen signature 	NISM Certified & AMFI Registered Employee	10,000	1,800	11,800
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	<p><u>Trusts</u></p> <ul style="list-style-type: none"> - Trust deed - copy of Letter of Registration - List of trustees with Specimen signature <p><u>HUFs</u></p> <ul style="list-style-type: none"> - @@ Declaration as mentioned below - HUF deed and - Name of Karta & Authorized person with Specimen signature 				
Post Office	List of Authorized signatories with Specimen signature	NISM Certified & AMFI Registered Employee	7,500	1,350	8,850

Micro Finance Institution	The relevant documents as may be applicable as stated above, depending on the category under which the Micro Finance Institution is incorporated viz. Society, Trust, Company, NBFC, etc. and the document evidencing that the entity is a Micro Finance Institution	NISM Certified & AMFI Registered Employee	7,500	1,350	8,850
Proprietorship Firms	Self Declaration for Proprietorship firm **	NISM Certified & AMFI Registered Employee	1,500	270	1,770
Individuals	Copy of NISM passing Certificate	Two stamp size color photographs	1,500	270	1,770
Senior Citizens Criteria to apply for allotment of ARN under this category : A person who has attained age of 50 years as on May 31, 2010 OR A person who has at least 10	Copy of CPE certificate	Two stamp size color photographs	1,500	270	1,770

years experience in the securities market as on May 31, 2010 AND/OR A person who has at least 10 years experience in distribution of Mutual Fund Products as on May 31, 2010 Any individual satisfying <u>any</u> of the above mentioned criteria is eligible to register himself as ARN holder under senior citizen category.					
Employees	Copy of NISM passing Certificate	Two stamp size color photographs	500	90	590
New Cadre of Distributors as defined in SEBI Circular dated September 13, 2012 and as notified by AMFI	Please click here for details				

Fees for renewal of ARN and applicable GST are 50% of the registration fees and GST indicated in the above table.

The prescribed fees can be paid only by demand draft in favour of the "Association of Mutual Funds in India" payable at the location of the CAMS office (CAMS-POS) to which the form is submitted.

**** Self Declaration by Proprietorship firm**

1. I, _____ hereby declare that, I am the sole proprietor of the proprietorship firm named _____ having PAN _____.

2. * I am myself engaged in selling and marketing Mutual Fund products/ * I am personally not engaged in selling and marketing Mutual Fund products, but have employed Mr./ Ms.

_____ (attach a separate list in case there are more no. of employees) for selling/ marketing Mutual Fund products on behalf of the aforesaid proprietorship firm, for which form for registration as corporate employee is being submitted herewith.

3. I hereby undertake that all the persons who are engaged in selling and marketing Mutual Fund products on behalf of the aforesaid proprietorship firm have a valid certification issued by NISM and are registered with AMFI/ shall pass NISM Mutual Fund Distributors Certification Examination Series V-A and register with AMFI before canvassing the mutual fund business.
4. I further undertake that the aforesaid proprietorship firm shall canvass/ promote mutual fund schemes in accordance with AMFI Guidelines and Norms for Intermediaries and the AMFI Code of Conduct for mutual fund intermediaries and the applicable SEBI Regulations and AMFI guidelines, as may be amended from time to time.

Signature of Proprietor with stamp of Proprietorship concern

**Delete whichever is not applicable.*

@@ Declaration by OPC/ Partnership Firm/ LLP/ HUF, where OPC/ Partnership Firm/ LLP and HUF as a corporate entity apply for registration without passing NISM Certification Test by member of OPC/ any of the person authorised / any partner/ Karta of HUF, but along with application for registration of their employee who is NISM Certified, the declaration / undertaking as under should be furnished along with application:

"I/We hereby declare that I, member of OPC/ any of the person authorised/ any of the partners/ Karta of HUF am/ is not engaged in the activity of selling/ marketing of Mutual Fund schemes and all such activities are carried out only by the person/s employed, who has/ have a valid certification issued by NISM and are registered with AMFI.

I/We further undertake that all our employees who are engaged in sales and marketing Mutual Fund schemes shall have a valid certification issued by NISM and shall obtain registration with AMFI before engaging in the activity of selling/ marketing of Mutual Fund schemes.

I/We also hereby undertake to pass Mutual Fund Distributors Certification Examination Series V-A and obtain registration with AMFI as when I, member of OPC/ any of the person authorised/ any partner / Karta of HUF decides to engage in sales and marketing of Mutual Fund schemes in future."