On the letter head of the non-individual mutual fund distributors (MFD)

To,

**Computer Age Management Services Limited** (CAMS**)**

Unit : AMFI
Rayala Towers, Tower 1, Ground Floor

158 Anna Salai,

Chennai 600002

**Sub: Documentary evidence for having applied to ROC for Change of Registered Name**

As per Regulation 3 (3) of the SEBI (Investment Advisers) Regulations, 2013, which was amended in July , 2020, no *person engaged in distribution of securities shall use the nomenclature /terms* such as Adviser / Advisor / Financial Adviser/ Investment Adviser/ Wealth Adviser/Wealth Manager/Wealth Managers etc. *or any other similar name* in their name, *unless registered with SEBI as an Investment Adviser*. Consequently, all AMFI registered mutual fund distributors (**MFDs**) whose registered name has any of the above-mentioned terms are required get their registered name changed.

Pursuant to the above regulatory change, we have applied to the ROC for change of registered name of our company / firm as per details furnished below:

|  |
| --- |
| ARN –  |
| Existing Name  |  |
| Proposed new Name  |  |
| Date of submission of application to ROC  |  |
| Name / Place of ROC |  |

A copy of acknowledgement dated ………………………………. issued by the ROC at …………………………………..….. is attached herewith in support of the above submission.

We undertake to ensure to complete the process for change of name with the ROC at the earliest, but latest by December 31, 2020 and inform AMFI / CAMS – AMFI Unit.

Thanking you,

Yours truly,

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name of the authorized signatory \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Designation : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_